

# An Auditable Performance Based Software Acquisition Process

On-Time Quality

**Systems & Software Technology Conference 2010**  
**Salt Lake City, Utah April 28<sup>th</sup> 2010**



7962 Old Georgetown Road, Suite B, Bethesda MD 20814  
865-458-6685 – Fax:865-458-9139 – [info@stewart-priven.com](mailto:info@stewart-priven.com)  
[www.stewart-priven.com](http://www.stewart-priven.com)

# Stewart- Priven Overview

## **30+ years software development Industry experience (each)**

- Commercial, Executive Management Focus
- Government, Program Management & Technical Focus

## **Managed IBM team that developed Inspections**

## **Both taught Inspections for Michael Fagan 1998 – 2005**

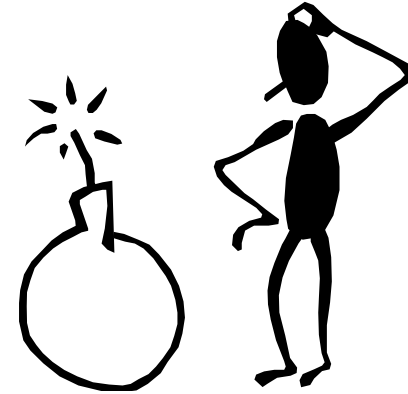
- 250 classes, 5,000 inspection practitioners, 50 company locations

## **Stewart-Priven Group - publications, presentations ([www.stewart-priven.com](http://www.stewart-priven.com))**

- CrossTalk Journal, Jan. 2008 – ‘How to Avoid SW Inspection Failure’( *10 Pitfalls*)
- CrossTalk Journal, Mar. 2009 – ‘Mgt. Insp. Responsibility & Tools for Success’
- Plenary speakers at 2009 Systems & Software Technology Conference
- Project Mgt. Institute/Military Health Systems Oct. 2009 ‘SW Inspection Success’
- 2010 article ‘An Auditable Performance Based SW Acquisition Process’

# Agenda

- Government Software Acquisition Problems



- A Solution\*



\*2010 article [www.stewart-priven.com](http://www.stewart-priven.com)

## Errors, Vulnerabilities, Missed schedules, Reduced content

### Focus of general session opening at last year's SSTC on April 20th 2009

Lieutenant General L. William Shelton; U.S. Air Force

- Chief of Warfighting Integration and Chief Information Officer
- Assistant Vice Chief of Staff and Director Air Force Staff Headquarters

“ CMMI Level 5 projects also experiencing these problems”

### Later in the conference:

Karl Rogers – SSTC host and Director of 309<sup>th</sup> Software Maintenance Group

Bruce Weimer - Army Software Engineering Center, SSTC April 22, 2009

- ‘Software Quality Assurance, Early and Continuous throughout the Life Cycle’
- ‘Justifiable evidence and high confidence that your system performs as expected, when expected, is safe, and is secure’

also addressed these problems

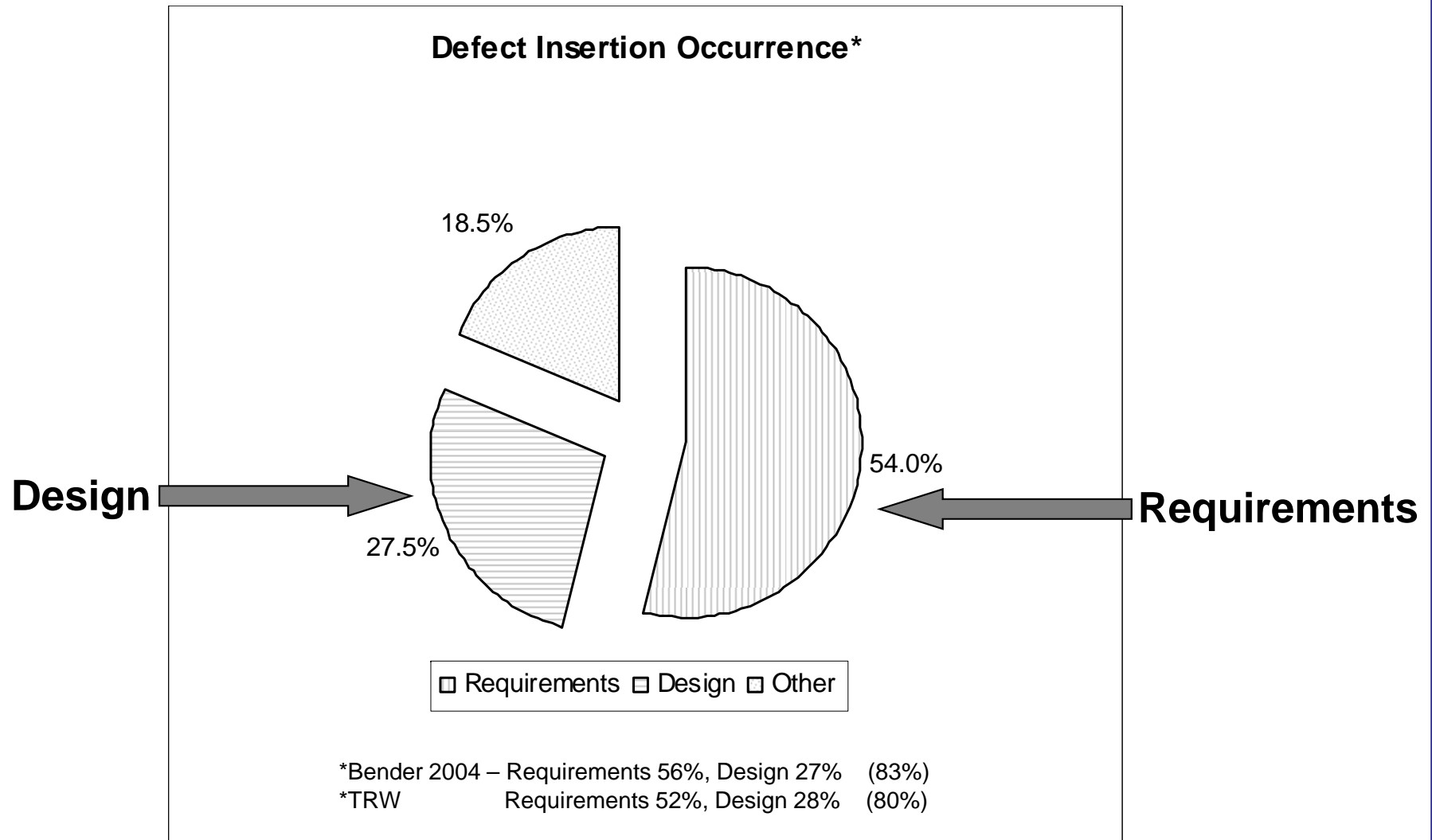
## DoD/DHS\* SwA Acquisition Working Group

- *“acquisition officials continue to accept software riddled with errors and other security vulnerabilities”*
  - The Software Assurance (SwA) Acquisition Working Group. “Software Assurance in Acquisition: Mitigating Risk to the Enterprise.” October 22, 2008
  
- *“Software vulnerabilities, malicious code, and software that doesn’t function as promised pose a substantial risk to the Nation’s software-intensive critical infrastructure that provide essential information and services to citizens”*
  - The Software Assurance (SwA) Acquisition Working Group. “Software Assurance in Acquisition: Mitigating Risk to the Enterprise.” October 22, 2008

\* DoD – U.S. Department of Defense

\* DHS – U. S. Department of Homeland Security

# Defect (error) Insertion



- Supplier focus on code-oriented defect removal approaches is not sufficient  
– e.g., Code Analyzers, Auto-Testing, Traditional Testing

# Defect Removal Consequences

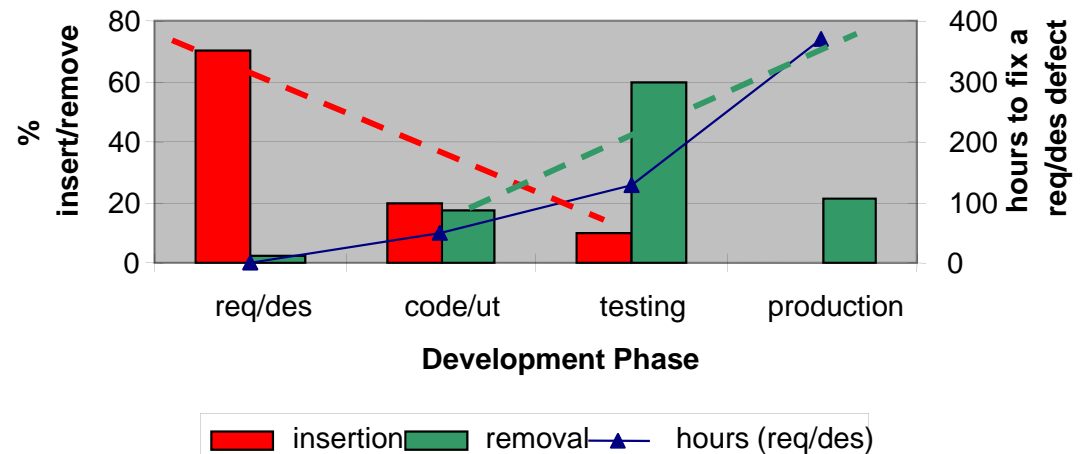
Without planned early defect removal (typical)

- Schedule erodes
- Quality declines
- Cost escalates
- Code analyzers not effective for Req & Des

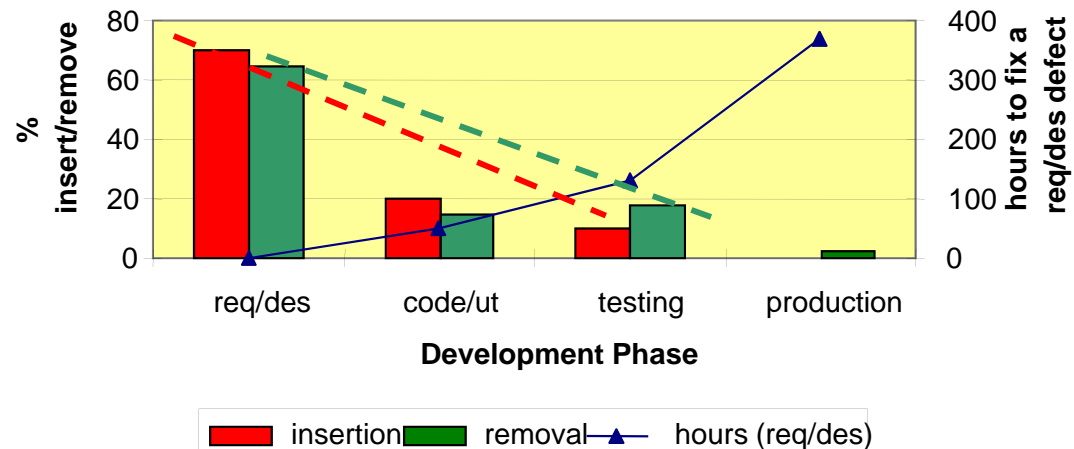
With planned early defect removal (e.g., *effective* Inspections)

- Defect leaks contained
- Quality is high
- Rework cost minimized
- Schedule contained

### Defect Insertion - Removal - Fix Hours/Cost



### Defect Insertion - Removal - Fix Hours/Cost



## CMM / CMMI / ISO 9x / etc.

- Predictors of Success
- Reflect what should be done during development,
- Don't examine outputs of development efforts
- Necessary, but not sufficient proof of:
  - What will be done
  - What has been done correctly

Report of the Defense Science Board Task Force. "Mission Impact of Foreign Influence on DoD Software." Sept. 2007

- *"Process Assessments by themselves do not examine the outputs of any development effort and are therefore silent with respect to the quality attributes of any particular product."*
- *"A positive Process Assessment finding lowers the risk that an organization will produce a low quality product but the [actual] quality of the product itself must be assessed using other methods."*



## SOLUTION to Acquiring Software On-Time with Higher Quality

- Performance Based Software Acquisition – discussed since 1991
- 

- Modified concept needed: Based upon existing Standards





- Concept Overview:

1. Candidate suppliers identify specific capabilities during RFP bid process
  - Acquirer (e.g., Govt.) Go/No-go
2. Supplier capabilities then verified by Acquirer's Expert as part of bid process
  - Acquirer Go/No-go
3. Supplier must demonstrate capability to produce ongoing, actionable and auditable justifiable evidence throughout contract performance
  - Acquirer Go/No-go before contract award
4. Post-award performance monitoring, throughout development

**What makes this concept feasible today?**

## Recently Available Technologies Enabling Auditable Performance Based Software Acquisition

-  'IEEE Std. 1028™-2008 for Inspections' (section 6)
  - Released August 2008
  - Significant upgrade from previous 1997 version
  - Clarifications, Completeness, Inspection Roots
  
-  Computerized tools for Inspection Planning, Performing, and Result Tracking and Measurement
  - Topic of last years SSTC Plenary presentation on April 22<sup>nd</sup> 2009
    - [www.stewart-priven.com/publications.htm](http://www.stewart-priven.com/publications.htm)
  - Compliant with 'IEEE 1028™-2008 for Inspections'
  - Provide rigor to Inspection Process for:
    - Correct & Complete Execution
    - Consistency between Inspection teams, organizations, projects, locations
    - Repeatable Performance
    - Auditable and actionable results, management reports
  - Net project saving estimate provided before project commitment
  - ROI and savings estimates for individual Inspections of Requirements and Design, as well as Code
  
- Both technologies target pre-code high defect insertion points
  - Contract, Requirements, Architecture, Interfaces, Design

# Inspections - Peer Reviews

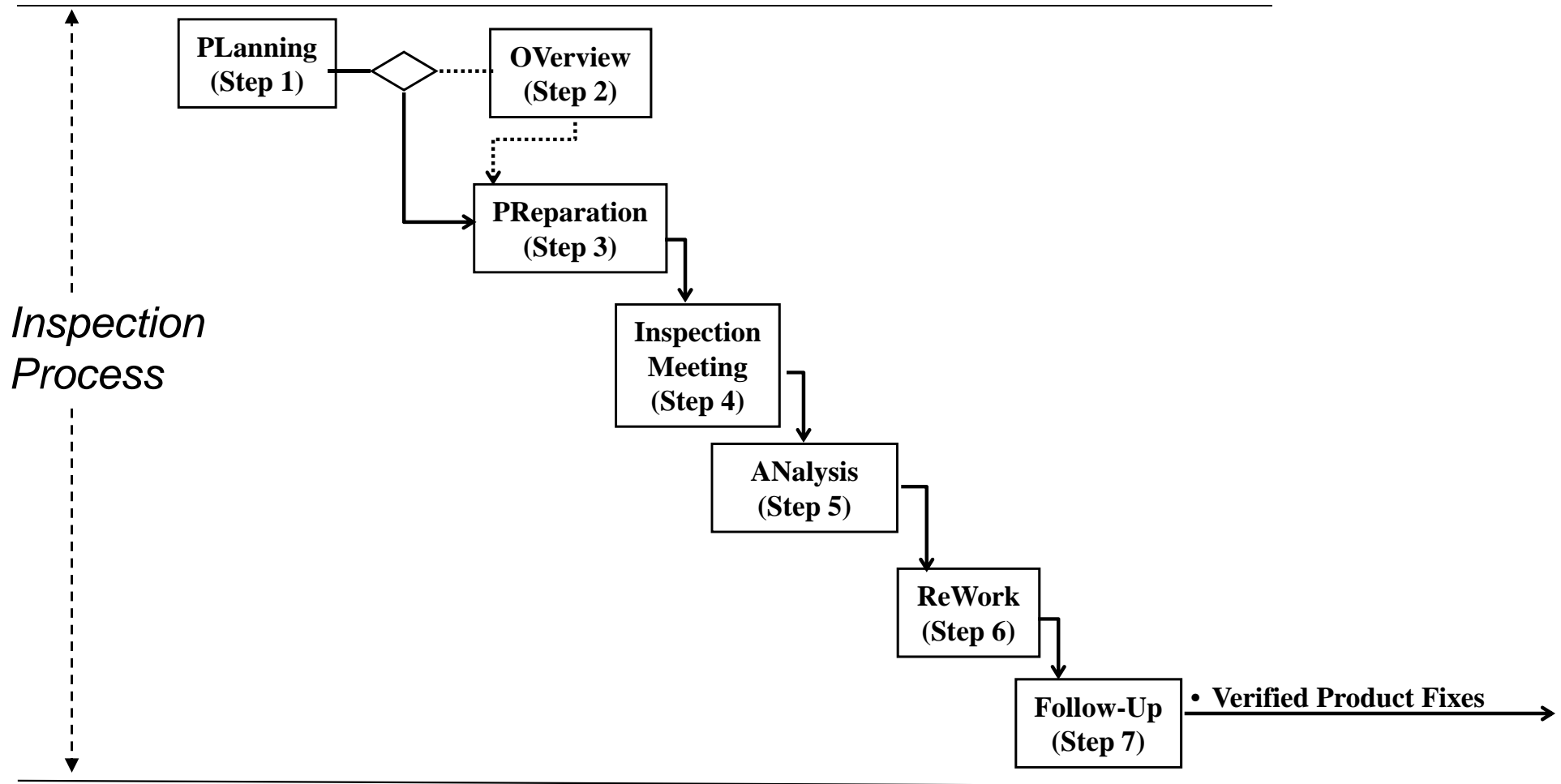
- Over time, each term has become ambiguous
- Many times the two terms are used interchangeably

Stewart-Priven believe:

- Inspections are a rigorous form of Peer Reviews
- Peer Reviews are not necessarily Inspections
  - Peer Reviews may or may not be Inspections
- Key characteristics of effective Inspections:
  1. Defined by 'IEEE Std. 1028<sup>TM</sup>-2008 for Inspections' (section 6)
    - Incorporate rigorous 'data-based' analysis (initially done by IBM in mid-70s)
    - Limits apply to material size, team size, material rates, Insp. Mtg. length
  2. Objective is 'removal' of major defects
    - not just finding defects, or removal of minor defects
  3. Paraphrasing by Reader's role, on all 'prepared' target material
  4. Real-time team synergism
    - Additional defects: +28% text; +55% code (Michael Fagan, sd&m Conference 2001)
  5. Computerized Inspection tools (for correct, consistent, repeatable execution)
  6. Upper management has implementation responsibilities (e.g., for pitfall avoidance)

# Inspection Process Flow

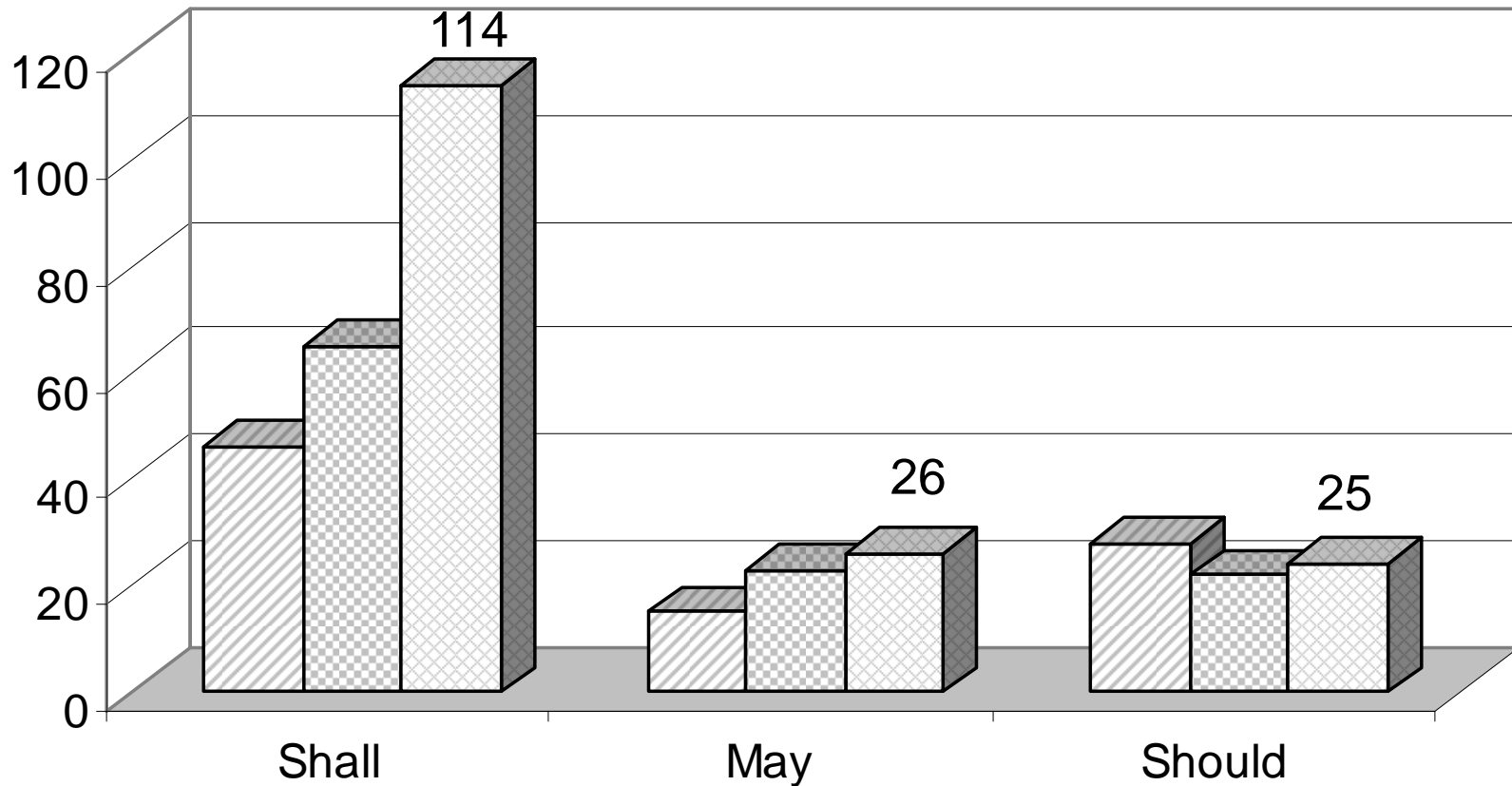
***Inspection Objective: Find and Fix Product Defects***



Consistent with IEEE Standard 1028<sup>TM</sup>-2008 for Inspections  
(IEEE - Institute of Electrical and Electronics Engineers, Inc.)

# 'IEEE Std. 1028™-2008 for Inspections' (section 6)

## Improved Inspection Process Definition 1997-2008



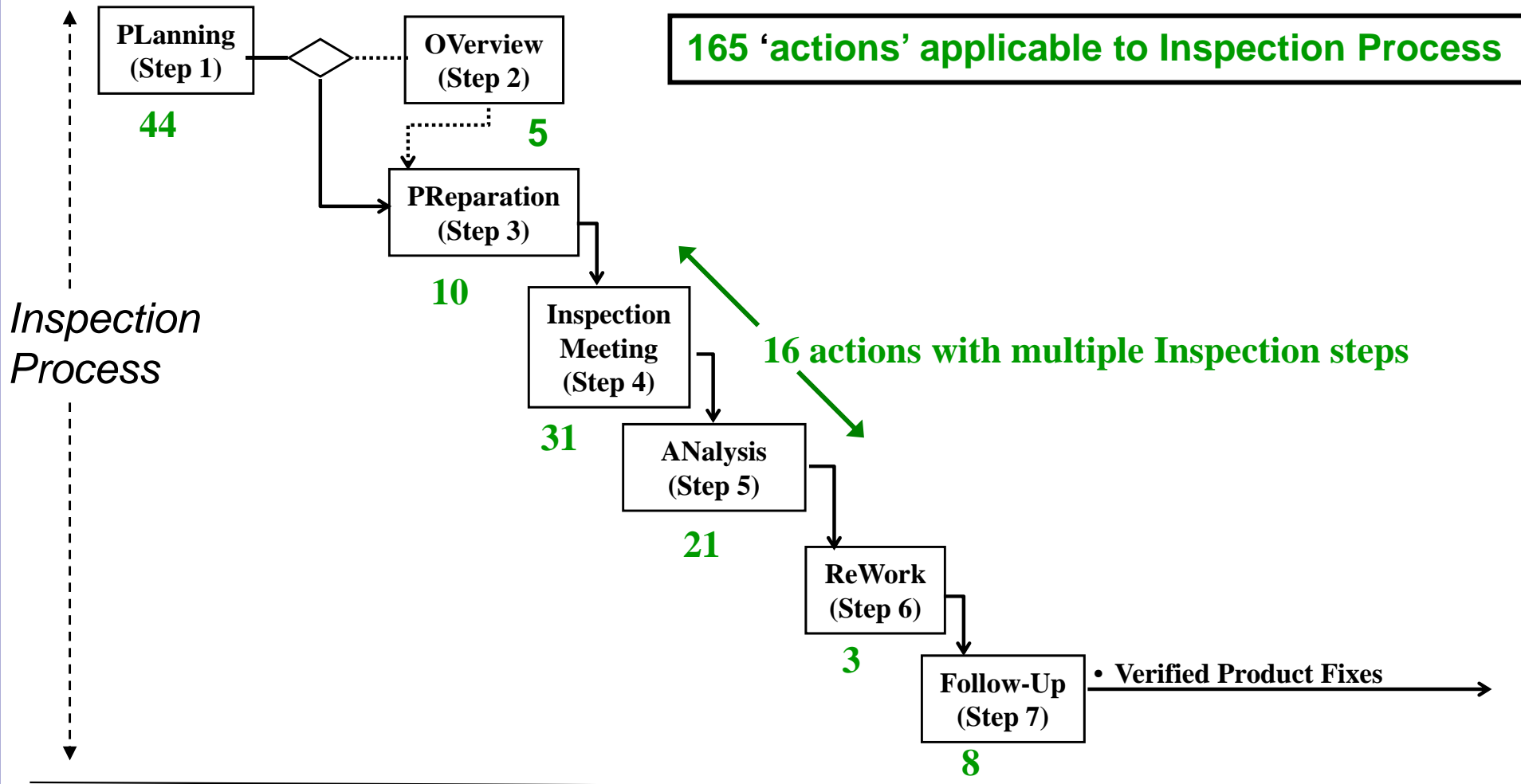
IEEE 1028™-1997
 
 IEEE 1028™-2008
 
 IEEE 1028™-2008 (multipart)

'Shall' (required)    'May' (alternative to Shall)    'Should' (recommended)

# 2008 Inspection Standard 'Process Actions'

**Inspection Objective: Find and Fix Product Defects**

## 14 (pre-Inspection)



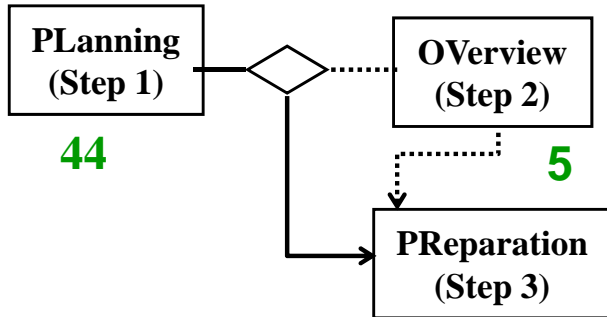
## 13 (post-Inspection)

Consistent with IEEE Standard 1028™-2008 for Inspections  
(IEEE - Institute of Electrical and Electronics Engineers, Inc.)

# 2008 Inspection Standard 'Role Actions'

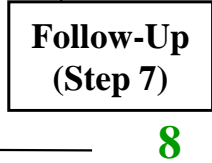
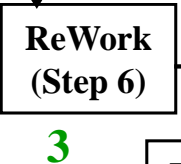
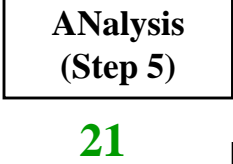
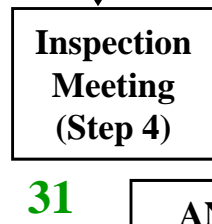
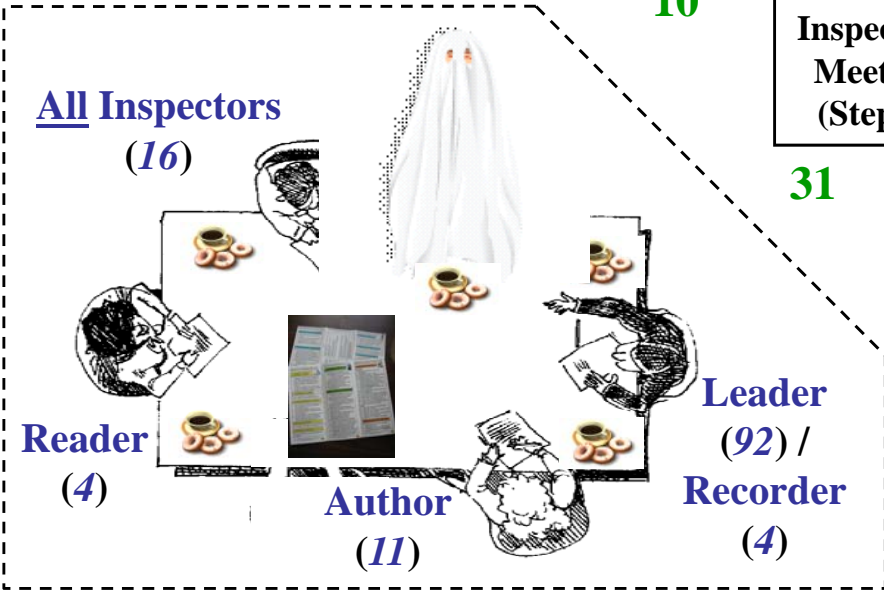
## Inspection Objective: Find and Fix Product Defects

### 14 (pre-Inspection)



**165 actions applicable to Inspection Process**  
**127 actions applicable to Inspection team**  
**31 actions applicable to Management's role**  
**7 actions applicable to Champion's role**

(127) actions



• Verified Product Fixes →

### 13 (post-Inspection)

Consistent with IEEE Standard 1028™-2008 for Inspections  
 (IEEE - Institute of Electrical and Electronics Engineers, Inc.)

# Ensuring Supplier Compliance to Inspections

## Inspection Compliance Matrix

### Concept:

1. Candidate suppliers identify specific capabilities during RFP bid process
  - Acquirer (e.g., Govt.) Go/No-go
2. Supplier capabilities then verified by Acquirer's Expert as part of bid process
  - Acquirer Go/No-go
3. Supplier must demonstrate capability to produce ongoing, actionable and auditable justifiable evidence throughout contract performance
  - Acquirer Go/No-go before contract award
4. Post-award performance monitoring, throughout development



# Inspection Compliance Matrix – part 1 of 4

## Parsing the Inspection Standard

line #	3/30/10 Para	insp step	role	IEEE Std. 1028™-2008 for Inspections (Actions)	Action Type		
				[ Action Clarification Text in brackets ]	ShL	May	ShD
1	TOTALS >			165	114	26	25

Totals

line #	3/30/10 Para	insp step	role	IEEE Std. 1028™-2008 for Inspections (Actions)	Action Type
164	6.8			<b>DATA COLLECTION</b>	
165	6.8	7	M	Inspections shall54a provide data for the analysis of the quality of the software product	54a
166	6.8	7	M	Inspections shall54b provide data for the effectiveness of the acquisition, supply, development, operation and maintenance processes	54b
167	6.8	5	M	Inspections shall54c provide data for the effectiveness and the efficiency of the inspection itself	54c
168	6.8	8	M	data from the author and inspectors shall55 NOT be used to evaluate the performance of individuals	55
169	6.8	4	L	anomalies identified at an inspection meeting shall56 be classified in accordance with 6.8.1, 6.8.2, and 6.8.3 [anomaly classification, categories and ranking]	56
170	6.8	4	L	Inspection data shall57a contain the identification of the software product	57a
171	6.8	4	D	Inspection data shall57b contain the date and time of the inspection	57b
172	6.8	4	L	Inspection data shall57c contain the inspection team	57c
173	6.8	4	L	Inspection data shall57d contain the preparation and inspection times	57d
174	6.8	5	L	Inspection data shall57e contain the volume [size] of the materials inspected	57e
175	6.8	5	L	Inspection data shall57f contain the disposition of the inspected software product	57f
176	6.8	8	M	Capture of inspection data shall58 be used to optimize local guidance for inspections.	58
177	6.8	8	M	Management of inspection data requires a capability to enter, store, access, update, summarize, and report classified anomalies	94
178	6.8	8	M	Frequency and types of inspection analysis reports, and their distribution, are left to local standards and	95

Section 6.8 (Data Collection) of Standard

Parsing each shall, may, should

Assigning ID # to each shall, may, should

Decomposing multi-part actions

Identifying Inspection Role

Identifying Inspection Step #

Identifying where 'Action' additions needed (ID# = 9x; e.g., 94, 95)

# Inspection Compliance Matrix – part 2 of 4

## Recommended Implementation

line #	3/30/10 Para	insp step	role	IEEE Std. 1028™-2008 for Inspections (Actions)	Action Type			Action Change	Rec.Implementation			
					ShL	May	ShD		Training	Tools	Process	other
1	TOTALS >			165	114	26	25	37	139	82	138	0
164	6.8			<b>DATA COLLECTION</b>								
165	6.8	7	M	Inspections shall54a provide data for the analysis of the quality of the software product	54a				x	x	x	
166	6.8	7	M	Inspections shall54b provide data for the effectiveness of the acquisition, supply, development, operation and maintenance processes	54b				x	x	x	
167	6.8	5	M	Inspections shall54c provide data for the effectiveness and the efficiency of the inspection itself	54c				x	x	x	
168	6.8	8	M	data from the author and inspectors shall55 NOT be used to evaluate the performance of individuals	55				x		x	
169	6.8	4	L	anomalies identified at an inspection meeting shall56 be classified in accordance with 6.8.1, 6.8.2, and 6.8.3 [anomaly classification, categories and ranking]	56							
170	6.8	4	L	Inspection data shall57a contain the identification of the software product	57a				x	x	x	
171	6.8	4	D	Inspection data shall57b contain the date and time of the inspection	57b				x	x	x	
172	6.8	4	L	Inspection data shall57c contain the inspection team	57c				x	x	x	
173	6.8	4	L	Inspection data shall57d contain the preparation and inspection times	57d				x	x	x	
174	6.8	5	L	Inspection data shall57e contain the volume [size] of the materials inspected	57e				x	x	x	
175	6.8	5	L	Inspection data shall57f contain the disposition of the inspected software product	57f				x	x	x	
176	6.8	8	M	Capture of inspection data shall58 be used to optimize local guidance for inspections.	58				x		x	
177	6.8	8	M	Management of inspection data requires a capability to enter, store, access, update, summarize, and report classified anomalies	94			add a shall		x		
178	6.8	8	M	Frequency and types of inspection analysis reports, and their distribution, are left to local standards and			95	add a should		x		

'Recommended' Implementation

- Enhancements
- Most are text clarifications

# Inspection Compliance Matrix – part 3 of 4

## Supplier provided Implementation

- Legend:
- Standard
- Supplier
- Expert
- Roles

1028-2008		Supplier Map		Insp. Expert		A-Author C-Champion D-RecorDer I-Inspectors L-Leader M-Management R-Reader(paraphraser) 0-pre-inspect 8-post-inspect																								
line #	3/30/10 Para	insp step	role	IEEE Std. 1028™-2008 for Inspections (Actions)	Action Type			Action Change				Rec.Implementation				Supplier Implementation														
				[ Action Clarification Text in brackets ]	ShL	May	ShD	Training	Tools	Process	other	Training	Tools	Process	other	none														
1	TOTALS >			165											114	26	25	37				139	82	138	0	0	0	0	0	
164	6.8			<b>DATA COLLECTION</b>																										
165	6.8	7	M	Inspections shall54a provide data for the analysis of the quality of the software product	54a						x	x	x																	
166	6.8	7	M	Inspections shall54b provide data for the effectiveness of the acquisition, supply, development, operation and maintenance processes	54b						x	x	x																	
167	6.8	5	M	Inspections shall54c provide data for the effectiveness and the efficiency of the inspection itself	54c						x	x	x																	
168	6.8	8	M	data from the author and inspectors shall55 NOT be used to evaluate the performance of individuals	55						x		x																	
169	6.8	4	L	anomalies identified at an inspection meeting shall56 be classified in accordance with 6.8.1, 6.8.2, and 6.8.3 [anomaly classification, categories and ranking]	56																									
170	6.8	4	L	Inspection data shall57a contain the identification of the software product	57a						x	x	x																	
171	6.8	4	D	Inspection data shall57b contain the date and time of the inspection	57b						x	x	x																	
172	6.8	4	L	Inspection data shall57c contain the inspection team	57c						x	x	x																	
173	6.8	4	L	Inspection data shall57d contain the preparation and inspection times	57d						x	x	x																	
174	6.8	5	L	Inspection data shall57e contain the volume [size] of the materials inspected	57e						x	x	x																	
175	6.8	5	L	Inspection data shall57f contain the disposition of the inspected software product	57f						x	x	x																	
176	6.8	8	M	Capture of inspection data shall58 be used to optimize local guidance for inspections.	58						x		x																	
177	6.8	8	M	Management of inspection data requires a capability to enter, store, access, update, summarize, and report classified anomalies	94																									
178	6.8	8	M	Frequency and types of inspection analysis reports, and their distribution, are left to local standards and				95																						

Supplier provided Implementation capability

5<sup>th</sup> column added – None

# Inspection Compliance Matrix – part 4 of 4

## Action Cross-Reference

Action Cross Reference

1028-2008		Supplier Map		Insp. Expert		A-Author C-Champion D-RecorDer I-Inspectors L-Leader M-Management R-Reader(paraphraser) 0-pre-inspect 8-post-inspect																
line #	3/30/10 Para	insp step	role	IEEE Std. 1028™-2008 for Inspections (Actions)		Action Type			Action Change				Rec. Implementation				Supplier Implementation					Action X-REF and Notes
				[ Action Clarification Text in brackets ]		ShL	May	ShD					Training	Tools	Process	other	Training	Tools	Process	other	none	
1	TOTALS >				165	114	26	25	37	139	82	138	0	0	0	0	0	0	0	0	08=65/23/22, 97=46/15/28	
164	6.8				<b>DATA COLLECTION</b>																	
165	6.8	7	M	Inspections shall54a provide data for the analysis of the quality of the software product	54a					x	x	x									ref Mandatory 2	
166	6.8	7	M	Inspections shall54b provide data for the effectiveness of the acquisition, supply, development, operation and maintenance processes	54b					x	x	x									ref Mandatory 2	
167	6.8	5	M	Inspections shall54c provide data for the effectiveness and the efficiency of the inspection itself	54c					x	x	x									ref May 1	
168	6.8	8	M	data from the author and inspectors shall55 NOT be used to evaluate the performance of individuals	55					x		x										
169	6.8	4	L	anomalies identified at an inspection meeting shall56 be classified in accordance with 6.8.1, 6.8.2, and 6.8.3 [anomaly classification, categories and ranking]	56																	
170	6.8	4	L	Inspection data shall57a contain the identification of the software product	57a					x	x	x									ref shall 53d	
171	6.8	4	D	Inspection data shall57b contain the date and time of the inspection	57b					x	x	x										
172	6.8	4	L	Inspection data shall57c contain the inspection team	57c					x	x	x									ref shall 53b	
173	6.8	4	L	Inspection data shall57d contain the preparation and inspection times	57d					x	x	x									ref shall 53c	
174	6.8	5	L	Inspection data shall57e contain the volume [size] of the materials inspected	57e					x	x	x									ref shall 53e	
175	6.8	5	L	Inspection data shall57f contain the disposition of the inspected software product	57f					x	x	x									ref shall 53i	
176	6.8	8	M	Capture of inspection data shall58 be used to optimize local guidance for inspections.	58					x		x										
177	6.8	8	M	Management of inspection data requires a capability to enter, store, access, update, summarize, and report classified anomalies	94				add a shall		x											
178	6.8	8	M	Frequency and types of inspection analysis reports, and their distribution, are left to local standards and			95		add a should		x											

# 3-Stage / 8-Step Auditable Performance Based SW Acquisition Process

## Initial Capability Assessment (Stage 1)

1 **Require** IEEE Std. 1028™-2008 (sec.6) Inspection compliance during Acquisition proposal bid response

2 Provide Inspection Compliance Matrix to supplier bidders

3 Perform gap analysis and map project's Inspection (or Peer-Review) capabilities to Compliance Matrix

4 Evaluate mapping and Recommend Go/No-Go

◆ Go – capabilities mapped

## Process Assessment (Stage 2)

5 Evaluation of Supplier Inspection Process for:

- ◆ Educated Management
  - Ensure all inspection pitfalls<sup>1</sup> mitigated
- ◆ Trained Inspectors
- ◆ Computerized Inspection Tools<sup>2</sup>

Go/No-Go Recommendation

◆ Go - process verified

## Execution Assessment (Stage 3)

6 IEEE Std. 1028™-2008 Compliant Inspection process **execution**

7 Auditable & Actionable performance-based **Results** captured by Inspection-Tool reports

◆ Go - execution confirmed

8 **contract awarded - performance**

- Monitor Inspection tool reports for process conformance and action completion throughout Development
- Provide periodic assessment recommendations to Acquirer

**Disciplined Development Process (Inspection Std.)**

**Meaningful Metrics (Inspection Tools)**

**Legend:** Acquirer (light blue) Supplier (pink) Acquirer's 3<sup>rd</sup> party expert (orange)

<sup>1</sup> Stewart, Roger & Priven, Lew. "How to Avoid Software Inspection Failure and Achieve Ongoing Benefits." CROSSTALK Magazine Jan. 2008

<sup>2</sup> Stewart, Roger & Priven, Lew. "Management's Inspection Responsibilities and Tools for Success." CROSSTALK Magazine Mar/Apr. 2009



# Capability Mapped - Process Verified - Execution Confirmed

## Acquirer's checklist (pre-contract award)

1028-2008		Supplier Map		Insp. Expert		A-Author C-Champion D-RecorDer I-Inspectors L-Leader M-Management R-Reader(paraphraser) 0-pre-inspect 8-post-inspect										Apv level							
line #	3/30/10 Para	insp step	role	IEEE Std. 1028™-2008 for Inspections (Actions)	Action Type			Action Change				Rec.Implementation				Supplier Implementation					1 M a p	2 V e r	3 C o n
				[ Action Clarification Text in brackets ]	ShL	May	ShD	Training	Tools	Process	other	Training	Tools	Process	other	none							
1	TOTALS >			165	114	26	25	37	139	82	138	0	0	0	0	0	0						
164	6.8			<b>DATA COLLECTION</b>																			
165	6.8	7	M	Inspections shall54a provide data for the analysis of the quality of the software product	54a				x	x	x												
166	6.8	7	M	Inspections shall54b provide data for the effectiveness of the acquisition, supply, development, operation and maintenance processes	54b				x	x	x												
167	6.8	5	M	Inspections shall54c provide data for the effectiveness and the efficiency of the inspection itself	54c				x	x	x												
168	6.8	8	M	data from the author and inspectors shall55 NOT be used to evaluate the performance of individuals	55				x		x												
169	6.8	4	L	anomalies identified at an inspection meeting shall56 be classified in accordance with 6.8.1, 6.8.2, and 6.8.3 [anomaly classification, categories and ranking]	56																		
170	6.8	4	L	Inspection data shall57a contain the identification of the software product	57a				x	x	x												
171	6.8	4	D	Inspection data shall57b contain the date and time of the inspection	57b				x	x	x												
172	6.8	4	L	Inspection data shall57c contain the inspection team	57c				x	x	x												
173	6.8	4	L	Inspection data shall57d contain the preparation and inspection times	57d				x	x	x												
174	6.8	5	L	Inspection data shall57e contain the volume [size] of the materials inspected	57e				x	x	x												
175	6.8	5	L	Inspection data shall57f contain the disposition of the inspected software product	57f				x	x	x												
176	6.8	8	M	Capture of inspection data shall58 be used to optimize local guidance for inspections.	58				x		x												
177	6.8	8	M	Management of inspection data requires a capability to enter, store, access, update, summarize, and report classified anomalies	94						x												
178	6.8	8	M	Frequency and types of inspection analysis reports, and their distribution, are left to local standards and			95				x												

## Computerized Inspection Tools

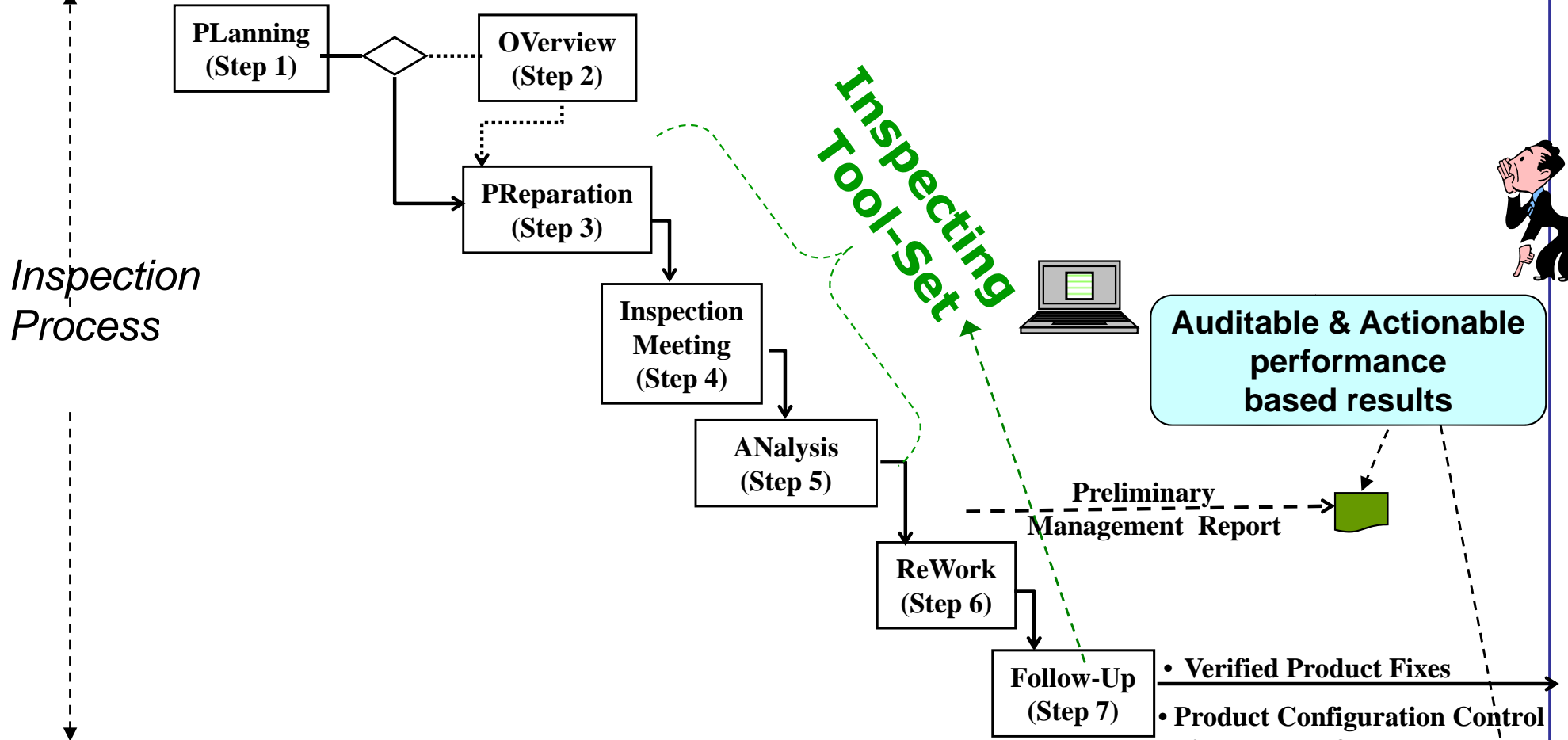
- Correct & Complete Inspection Execution
- Repeatable Results for Labor Savings & High Quality Products
- Consistency across Inspection Teams, Groups & Locations
- Measurement and Comparison of actual defect removal by Inspection and Testing vs. Quality Plan objectives
- Facilitates Management Buy-in
  - Inspection Tools for Project Planning and Savings Estimation
    - Pre-Commitment
    - Support 'What-If' Project scenarios

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# Inspection Tool Use

**Inspection Objective: Find and Fix Product Defects**

## Inspection Planning Tool-Set



## Inspection Tracking & Measurement Tool-Set

Consistent with IEEE Standard 1028-2008 for Inspections  
(IEEE - Institute of Electrical and Electronics Engineers, Inc.)

- Verified Product Fixes
- Product Configuration Control
- Final Report for Management Review and Action
- Database Update & Archive



## Portability of 8-Step Auditable Acquisition Process

- Could be applied to other Standards or Process
  - Standard/Process Expert
  - Compliance Matrix Development
- Matrix Compliance provides;
  - Supplier Execution Rigor
  - Auditable Performance Based Results from Supplier
    - e.g., tool generated
- Inspections can be used to examine other Standards and Processes

# Achieve Auditable Performance Based Acquisition Now

Use 8-step process *first* with the 2008 Inspection Standard:

- Addresses current Schedule and Quality problems
- Addresses up-front defect insertion points (e.g., Reqs, Design)
- Allows moving to true Auditable Performance Based Acquisition TODAY!

**Auditable Performance Based Acquisition  
can now be consistent across all DoD Programs!**

# Stewart-Priven Group - Contact Information

## Address

Stewart-Priven Group, LLC  
7962 Old Georgetown Road, Suite B  
Bethesda MD 20814 USA

## Phone

865-458-6685

## Fax

865-458-9139

## Email

info@stewart-priven.com

## Web Site

www.stewart-priven.com

## STEWART-PRIVEN GROUP

Software Inspection

'Methodology' *Experts*

**Avoiding Inspection Pitfalls**

with  
*SpectorTool  
Suite*™



*PlanSpector*™  
*InSpector*™  
*TrackSpector*™

### Development Infrastructure

1.1 Net Savings  
Estimate from  
Inspections  
1.2 Development  
Infrastructure  
Assessment

1.3. Tuning  
Recommendation;  
& any Prerequisite  
Infrastructure  
Implementation

Assessment Methodology

### Inspection Tools & Process Training

2. Management  
Instruction  
3. Practitioner  
Training

### Implementation

4. Performance  
Consulting  
and Coaching

### Stewart-Priven Methodology



[www.stewart-priven.com](http://www.stewart-priven.com)

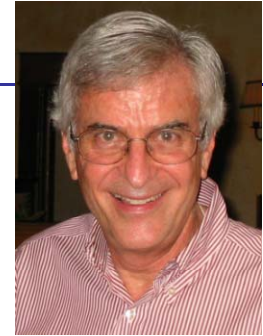
V1.5

## What is the Industry View of Inspections

- **'The data in support of the quality, cost and schedule impact of inspections is overwhelming. They are an indispensable part of engineering high-quality software.'** Steve McConnell - *"IEEE Software Jan/Feb 2000, Best Influences on Software Engineering over past 50 years"*
- 'Inspections are surely a key topic, and **with the right instrumentation and training** they are **one of the most powerful techniques for defect detection**. They are both effective and efficient, especially for up-front activities. In addition to large-scale applications, we are applying them to smaller applications and incremental development.' Chris Ebert - *"IEEE Software Jan/Feb 2000, Best Influences on Software Engineering over past 50 years"*
- 'Inspection repeatedly has been demonstrated to yield up to a **10 to 1 return on investment**. . . **.depressingly few practitioners know about the 30 year old technique of software inspection. Even fewer routinely perform effective inspections** or other types of peer reviews.' "Karl Wieggers - *"The More Things Change, Better Software, Oct. 2006"*
- 'The software community has used Inspections for almost twenty eight years. During this timeframe Inspections have **consistently added value for many software organizations**. **Yet for others, Inspections never succeeded as well as expected, primarily because these organizations did not learn how to make Inspection both effective and low cost.**' Ron Radice - *"High Quality Low Cost Software Inspections, 2002 Paradoxicon Publishing"*
- **'Formal inspections can raise the [defect] removal efficiency to over 95%.** But part of the problem here is that **not a lot of companies know how to use these things.**' Capers Jones, Chief Scientist, SPR – *"Computer Aid Inc. July 2005"*
- 'I continue to be amazed at the number of software development organizations that do not use this powerful method [inspections] to improve quality and productivity.' Ed Weller - *"Jan. 2002, Calculating the Economics of Inspections"*



## About Stewart-Priven



- Roger Stewart is co-founder and Managing Director of the Stewart-Priven Group. He is an experienced Lead Systems Engineer and Program Manager in both government and commercial system development – including Systems Engineering, Software Development, System Integration, System Testing, and Process Improvement.
- Previously, Stewart taught the Fagan Defect-Free Process for Michael Fagan Associates (8 years) after spending 31 years with IBM's Federal Systems Division, (now part of Lockheed-Martin) managing and developing systems for the FAA Air Traffic Control, Air Force Satellite Command & Control, NASA On-Board Space Shuttle, NAVY Light Airborne Multi-Purpose System (LAMPS Helicopter); and in Commercial Banking, Telecommunication and Networking systems.
- Roger has a BS in Mathematics from Cortland University.
- Lew Priven is co-founder and Managing Director of the Stewart-Priven Group. He is an experienced executive with management and technical background in system and software development, software quality training, management development training and human resource management.
- Previously, Priven managed the IBM team that developed the inspection process, taught the Fagan Defect-Free Process for Michael Fagan Associates (8 years), and was Vice-President of Engineering & Application Development at General Electric Information Services, Vice President of Application Development for IBM's Application Systems Division, Director of Operations & Development for the IBM Information Network, Vice President of Information Technology & Human Resources for Satellite Business Systems.
- Lew has a BS in Electrical Engineering from Tufts University and an MS in Management from Rensselaer Polytechnic Institute.

# Acronyms

# - number

APV – approval

CMM – Capability Maturity Model

CMMI – Capability Maturity Model Integration

Con - confirmed

Des - Design

DHS – Department Homeland Security

DoD – Department of Defense

e.g. – for example

Govt. – Government

IBM – International Business Machines

IEEE – Institute of Electrical & Electronic  
Engineers, Inc.

Insp. - Inspection

ISO – International Organization for Standardization

Mgt – Management

Mtg - Meeting

Para – paragraph

Rec - Recommended

Req – Requirements

RFP – Request for Proposal

ROI – Return on Investment

ShD – should

ShL – shall

SSTC – Systems & Software Technology  
Conference

Std. – Standard

SW – Software

SwA – Software Assurance

TRW – defense contractor acquired by  
Northrop Grumman in 2002

ut – unit test

Ver - Verified

vs. - versus

X-Ref – Cross Reference